

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: A03P1072

EXPRESS MAIL NO. EV100889010US

Inventor(s): Gene A. Bornzin; Eric Falkenberg;  
Mark W. Kroll; and Gabriel A. Mouchawar

Title: IMPLANTABLE CARDIAC DEFIBRILLATION ASSEMBLY  
INCLUDING A SELF-EVALUATION SYSTEM AND METHOD

22388 U.S. PTO  
10/702562  
110503

MAIL STOP PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith for filing are the following documents:

- ☒ 3 page(s) DATA SHEET  
☒ 35 page(s) application including 30 pages specification; 4 pages claims, and  
1 page abstract  
☒ 9 Sheet(s) of Drawings  
Formal xx Informal       
☒ Declaration  
☒ Assignment  
☒ Recordation Form Cover Sheet  
☒ Power of Attorney by Assignee...  
☒ Nonpublication Request Under 35 USC 122...  
☒ Information Disclosure Statement  
☒ PTO-1449 and references  
☒ Return Postcard

CALCULATION OF FEES					
ITEM		NO. OF CLAIMS FILED	NO. OF ADDITIONAL CLAIMS FILED	LG ENTITY FEE	\$ FEE
A	TOTAL CLAIMS FEE	18 - 20 =	0	X \$18	\$0
B	INDEPENDENT CLAIMS FEE**	4 -3 =	1	X 86	86
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (LINES A + B)				\$ 86
D	MULTIPLE-DEPENDENT CLAIMS FEE LARGE ENTITY FEE = \$290				
E	BASIC FILING FEE*LARGE ENTITY = \$770				770
F	<b>TOTAL FILING FEE**</b> (ADD TOTALS FOR LINES C, D, AND E)				<b>\$ 856**</b>

☒

Charge Deposit Account No. **16-0068**  
the amount of

**\$856\*\***

**A copy of this letter is  
enclosed.**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0068
- X Any additional filing fees required under 37 CFR 1.16.
- X Any patent application processing fees under 37 CFR 1.17.
- X The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-0068
- X Any patent application processing fees under 37 CFR 1.17.
- X Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

Date: 11/5/03

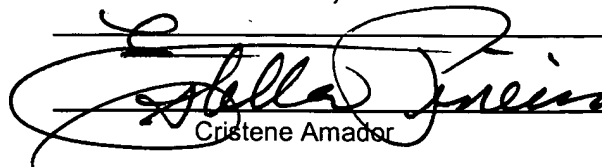
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I hereby certify that this New Application is being deposited with the United States Postal Service as "Express Mail" mailing label number EV100889010US in an envelope as "Express Mail Post Office to Addressee" addressed to the:  
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ALEXANDRIA, VA 22313-1450, on:

November 5, 2003

  
Cristene Amador11/5/03  
Date

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Gene A. Bornzin

Title

**IMPLANTABLE CARDIAC DEFIBRILLATION  
ASSEMBLY INCLUDING A SELF-EVALUATION  
SYSTEM AND METHOD**

Attorney Docket Number

A03P1072

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

11/5/03

Date



Signature

818/493-2200

Telephone number

Derrick Reed, Reg. No. 40,138

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.